

# Operational Transformation & T+1 Readiness: CTM® & ALERT® Integration

# OPTIVITY

The move to T+1 settlement is reshaping post-trade operations across financial markets. For firms still relying on manual trade processing, this shift brings both risk and opportunity. Implementing DTCC's CTM® and ALERT® platforms is a proven way to achieve faster matching, reduced settlement failures, and regulatory compliance.

Optivity provides hands-on consulting to guide firms through the full implementation of CTM® and ALERT® – laying the foundation for automation, efficiency, and T+1 readiness. With deep expertise and direct experience from within DTCC, clients gain accelerated transition support and long-term operational value.

The consulting framework is built around three core pillars:



## T+1 Readiness Review & Diagnostics

A comprehensive review of current post-trade workflows highlights gaps, risks, and opportunities for automation.

- Assessment of manual trade matching and affirmation processes
- SSI management practices and data quality issues
- Readiness for UTI adoption and exception handling
- Mapping current workflows to CTM® and ALERT® capabilities

This diagnostic phase delivers a clear roadmap for implementing central matching and SSI automation, ensuring firms reduce settlement risk and achieve T+1 compliance.



## Process Design & Efficiency Planning

Post-trade workflows are designed and built from the ground up – centred on integration and Straight-Through Processing (STP).

- End-to-end workflow design for CTM® trade matching and ALERT® SSI automation
- Data strategy for SSI onboarding and maintenance
- UTI implementation planning and exception management setup
- Integration of DTCC best practices across asset classes

Whether launching CTM® and ALERT® or expanding across desks, the objective is to deliver scalable, efficient, and compliant processes that boost affirmation rates and minimise operational risk.



## Execution Support & Change Delivery

Strategy is translated into execution with support across every step of the implementation journey.

- Project management and stakeholder engagement
- CTM® and ALERT® onboarding coordination with DTCC
- Testing strategy, execution, and go-live support
- Change management and training for operations teams
- Remote or onsite delivery support

The transition from manual workflows to automated post-trade operations is managed smoothly, ensuring firms are fully prepared for T+1 and positioned for future settlement cycles.

## About Optivity

Optivity is a specialist consultancy founded by Ylva Arnberg, former manager of DTCC's CTM® & ALERT® Integration team. With 20 years of experience integrating and supporting CTM® and ALERT®, she brings deep expertise in post-trade optimisation and regulatory readiness.

Her background spans global integration projects, advisory on post-trade best practices, and operational improvements across complex environments. Optivity delivers independent, hands-on consulting to help firms increase matching rates, streamline workflows, and prepare for accelerated settlement cycles.



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Ready to avoid costly settlement failures under T+1? Let's talk.



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