

T+1 Readiness & Post-Trade Efficiency Consulting for CTM® & ALERT® Clients

OPTIVITY

The move to T+1 settlement is reshaping post-trade operations across global financial markets. This transition demands precision, speed, and strategic optimisation. For institutions using DTCC's CTM® and ALERT® platforms, these tools provide a strong foundation — but unlocking further value is essential to ensure efficient matching and effective risk reduction.

Optivity offers specialised consulting services to help firms prepare for T+1 by maximising the potential of their existing DTCC infrastructure. With deep domain expertise and direct experience from within DTCC, our offering delivers measurable improvements in operational efficiency, regulatory compliance, and risk mitigation.

While the scale and detailed approach are tailored to each client's needs, the consulting framework is built around three core pillars:



T+1 Readiness Review & Diagnostics

Optivity identifies readiness gaps and optimisation opportunities for T+1 — coordinating with DTCC to access platform insights, performance benchmarks, and configuration data.

Key focus areas include:

- Matching rates vs. Same Day Confirmation targets
- Manual interventions and inefficiencies causing delays
- SSI and reference data quality issues
- UTI adoption status and exception management capabilities

This analysis delivers a clear, actionable roadmap for achieving faster, more reliable post-trade execution and reducing settlement risk.



Process Design & Efficiency Planning

Building on diagnostic findings, Optivity helps redesign or enhance post-trade processes — ensuring workflows are efficient, automated, and compliant.

Design support includes:

- Workflow redesign to maximise Straight-Through Processing (STP)
- Automation strategies for post-execution and pre-settlement processes
- SSI remediation and UTI implementation
- Integration of best practices across asset classes

Whether through full or incremental changes, the aim is to boost efficiency, minimise operational risk, and accelerate settlement readiness.



Execution Support & Change Delivery

Strategic recommendations are translated into tangible outcomes through hands-on delivery support and execution.

Services include:

- Facilitating stakeholder workshops and managing change initiatives
- Testing strategy and execution support
- Detailed implementation plan and progress tracking
- Go-live checklists and rollout planning
- Remote or onsite support

Optivity ensures a seamless transition to T+1 with minimal disruption and maximum impact, enabling firms to meet regulatory deadlines with confidence.

About Optivity

Optivity is a specialist consultancy founded by Ylva Arnberg, former manager of DTCC's CTM® & ALERT® Integration team. With 20 years of experience integrating and supporting CTM® and ALERT®, she brings deep expertise in post-trade optimisation and regulatory readiness.

Her background spans global integration projects, advisory on post-trade best practices, and operational improvements across complex environments. Optivity delivers independent, hands-on consulting to help firms increase matching rates, streamline workflows, and prepare for accelerated settlement cycles.



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Ready to assess your T+1 readiness? Let's talk it through.



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